

## Research on the Chinese Function Word Usage Knowledge Base

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### Abstract

*Chinese function words play an important role in Chinese semantic understanding and grammatical analysis. But formalizing the Chinese function word usages for the natural language processing is a big challenge. In recent years, the authors have devoted themselves to constructing a trinity Chinese Function word usage Knowledge Base (CFKB). In this paper, the authors give a general review of its development, including the Chinese function word usage dictionary, Chinese function word usage rule base, and Chinese function word usage corpus. In addition, the authors discussed the potential applications on their knowledge base.*

### Keywords

*Chinese function word; usage; rule; corpus; knowledge base.*

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### 1 Introduction

Chinese belongs to the analysis language and lacks morphological changes in the strict sense (Lv, 1979). In Chinese, there are not the morphological flags or the inflections such as in Russian, French and English, nor the affixes such as in Japanese, Korean, Mongolian and Turkish (Lu and Ma, 1999). The grammatical functions and grammatical meanings, which are undertaken by the morphological changes of content words in other languages, will depend on function words in Chinese (Li et al., 2005).

In a generalized opinion, Chinese function words include adverbs, preposition, conjunction, auxiliary, and modality (Yu, 2003), abbreviated as *d*, *p*, *c*, *u*, and *y* respectively. Compared to the content words, the number of function words is relatively fewer, but the occurrence of each function word is often much higher. The linguistics think that the content word set is open, whereas the function word set tends to be close.

In comparison with other languages, for example English, Chinese function words play a more important role in text semantic understanding and grammatical analysis. The misunderstanding of one function word will likely lead to an understanding error of the whole sentence. Let's see the following examples. For easy understanding, the Chinese example sentences in this paper were in segmentation and part-of-speech (POS) format.

- (1) 他/r 访问/v 校长/n 。 /w  
 he visit the president  
*He visited the president.*
- (2) 他/r 被/p 校长/n 访问/v 。 /w  
 he **by** the president visit  
*He **was** visited **by** the president.*

In the view of word bags, which is a mainstream opinion in natural language processing, the difference between above two example sentences is that the sentence (2) has one more word, 被(by). They have all the same words but the word, 被(by). Here, 被(by) is a preposition and expresses the passive voice. In example sentence (1), the formal subject, 他 (he) is the agent of the verb 访问 (visit). And its formal object, 校长 (president), is the patient of the verb 访问 (visit). But things in example sentence (2) are different. Because of the preposition 被(by), the meaning and grammatical structure of example sentence (2) is completely changed! The preposition 被(by) introduces to the agent of the verb 访问 (visit). That is to say, the noun right next to the preposition 被(by), 校长 (president), the preposition's object, is the agent of the verb 访问 (visit). The formal subject, 他 (he), has changed to be the patient of the verb 访问 (visit). So, the preposition 被(by) can change the whole meaning of a Chinese sentence.

Let's consider other examples (Yu, 2003),

- (3) 这么/r 一/m 个/q 工程/n , /w 三/m 年/q 才/d 完成/v 。 /w  
 Such a project three year **not until** finish  
*Such a project **has not been finished until** three years later.*
- (4) 这么/r 一/m 个/q 工程/n , /w 才/d 三/m 年/q 完成/v 。 /w  
 Such a project **just** three years finish  
*Such a project **just** was taken three years to finish.*

Sentence (3) conveys that the speaker thinks the project takes too long a time; while the sentence (4) conveys that the project spends too short time. Without the adverb 才, the meaning of the whole sentence will not be clear. To support machine understanding, we need to formalize this usages knowledge of related function words. The distinct difference between sentences (3) and (4) is the relative position of adverb 才 with the number 三 (three). In the view of word bags, these two Chinese sentences are definitely the same. But we can see, in Chinese, the words' order, especially the usage or the context of function words, will affect the meaning of the whole sentence.

In addition, let's observe other Chinese function word usages via the following examples,

- (5) 我/r 明天/t 就/d 去/v 学校/n 。 /w  
 I tomorrow **will** go school  
*I **will** go to school tomorrow.*
- (6) 你/r 就/p 这/r 事/n 说说/v 吧/y 。 /w  
 you **on** this thing say

*You may say something **on** this thing.*

- (7) 你/r 明天/t 来/v 吗/y ? /w  
 you tomorrow come (interrogative mood)  
*Will you come tomorrow?*
- (8) 你/r 明天/t 来/v 吧/y 。 /w  
 you tomorrow come (indicative mood)  
*Come tomorrow.*
- (9) 我/r 给/p 她/r 写/v 信/n 。 /w  
 I to she write letter  
*I wrote a letter **to** her.*
- 10) 我/r 给/p 她/r 做/v 饭/n 。 /w  
 I for she cook meal  
*I cooked the meal **for** her.*
- (11) 她/r 被/p 玻璃/n 给/u 伤/v 了/u 。 /w  
 She by glass break (perfect tense)  
*She has been broken by glass.*

From the sentences (5) and (6), we can see the POS of function words will lead to different meaning. And comparing the sentence (7) and (8), we can see, the last word, modality, with the mark at the end of sentence together, defines the sentence's mood. The sentence (7) expresses the interrogative mood because of “吗/y ? /w”; however, the sentence (8) expresses the indicative mood because of “吧/y 。 /w”. In the sentence (9) and (10), the preposition 给 means “to” and “for” respectively. But in the sentence (11), the auxiliary “给/u” means nothing, it should be ignored in the sentence parsing or understanding.

Therefore, Chinese function words and their usages in context are very important to Chinese text computing and understanding. At present, many researches on text computing or word meaning understanding are focused on the content words, for example, noun, verb, adjective, rarely involving function words. Indeed the content words contain the main meaning of sentences, they are perhaps enough for some common natural language processing applications, such as text classification, information retrieval, and so on. So, for simplicity and efficiency, the researchers mainly take content words as selected features, and list some function words as stop words without considering their influences on text meaning. This will impact the deep analysis of Chinese text semantic and related applications, for example, Chinese parsing, Chinese generation, machine translation, information extraction, question answer, and so on.

In fact, the Chinese function words take on very important syntax roles. They have strong individual features with distinct usages. By now, the studies on function words of contemporary Chinese are mostly human oriented. These descriptions on Chinese function words can not avoid from subjectivity and illegibility. So it is not easy for them to be applied directly to natural language processing. From the opinion of computational linguistics, this paper discussed on the structure and its progress of Chinese Function words Knowl-

edge Base (CFKB). It will provide the foundation for the automatic text understanding and generation of Chinese.

The rest of the paper is organized as follows. In Section 2, we reviewed the related works of CFKB and the researches on the applications of Chinese function words usage. In section 3, we gave the developments of CFKB and a detail description on the structure of CFKB and its recent results. At last, we draw a conclusion and listed further works in section 4.

## 2 Related Works

Language knowledge base is an indispensable component for natural language processing system, so is for the Chinese information processing. In the past two or three decades, lots of important Chinese language knowledge bases have been built (Wang, 2010).

### 2.1 Machine oriented Chinese resources

The Grammatical Knowledge Base of Contemporary Chinese(GKB)(Yu, 1998) is the most influential Chinese lexical syntactic resource. It is the very foundation of Chinese information processing. From GKB, many other Chinese language resources have been built. For example, the Semantic Knowledge-base of Contemporary Chinese (SKCC) (Wang, 2003) has been built for Chinese-English machine translation. And the WordNet-like(Miller, 1993)(Fellbaum, 1999) Chinese Concept Dictionary (CCD)(Yu, 2002) has been built for cross-language text processing, and the multi-level Chinese annotated corpus for training various language models. In addition, another most important Chinese-English knowledge base is HowNet(Dong, 1999). It is an on-line common-sense knowledge base unveiling inter-conceptual relations and inter-attribute relations of concepts as connoting in lexicons of the Chinese and their English equivalents.

Most of above common machine oriented Chinese lexical resources focus on content words. For example, GKB provides about 80,000 words' syntactic information including content words and function words. But compared with the content words, especially the verbs, the information on function words that GKB has provided is much poorer, and doesn't basically involve semantic information. Before CFKB, there was no machine oriented Chinese function word knowledge base or dictionary in Chinese information processing. The research on Chinese function words in the field of automatic analysis and generation was almost a blank (Yu, 2003).

### 2.2 Studies on function words

As we know, the more frequent the words are, the more usages they will have. In general, the function words use at high frequency in real text, not only for Chinese, but also for other languages. Prepositions, one category of function words, are often among the most frequent words. For example, based on the British National Corpus (BNC) (Burnard, 2000), four out of the top ten most-frequent words in English are prepositions (*of*, *to*, *in*, and *for*). So, the understanding correctness of the function words in sentence will impair the quality of the whole sentence's understanding.

Researchers have well realized this problem and have made many efforts on it. For example, there have been many studies on preposition syntax, for example, Preposition Phrase (PP) attachment research of Dutch(van Herwijnen, 2003), French(Gaussier, 2001) (Gala, 2005), German(Hartrumpf, 1999)(Volk, 2003), Spanish(Calvo, 2005), and Swedish(Kokkinakis, 2000) (Volk, 2006). The emergence of resources such as the Preposition

Project, and the data set made available for the SemEval 2007 task on the word sense disambiguation of prepositions (Litkowski, 2007), providing the means for more detailed analysis of preposition semantics. In 2009, a special issue of Computational Linguistics, 35(2), is devoted to preposition research. It gave a survey of preposition applications. Baldwin(2009) pointed out that prepositions had led a mixed existence in computational linguistics and related fields, particularly in the context of applications. He mainly summarized the syntax and semantic developments in prepositions, including PP attachment, prepositional multiword expression, lexical semantic resources for prepositions, automatic classification of preposition sense, and so on. Besides, Jørgensen(2009) presented a formal semantic analysis of preposition semantics, and its possible application in Norwegian-English machine translation. Kelleher(2009) proposed computational models of topological and projective spatial prepositions for use in a visually situated dialogue system. O'Hara(2009) discussed on how semantic role resources could be exploited for preposition disambiguation. Girju(2009) leveraged translation data to improve the accuracy of compound noun interpretation, based on the observation that the choice of preposition in Romance languages is often indicative of the semantics of the compound noun.

But the special issue of Computational Linguistics did not refer to any development in Chinese information processing. The function words are very important for Chinese analysis and generation. Even the style of an author's writing can be identified only by the function words usages in his or her works. Setiawan(2009) introduced topological ordering of function words in hierarchical phrase-based translation, and got significant gains in his Chinese-English and Arabic-English experiments. In fact, there have been much research on Chinese function words and the relative applications. For example, the auxiliary 的, the top one frequent word in Chinese text, has the most various usages in different context and means a lot in Chinese understanding. Linking constructions involving 的 are ubiquitous in Chinese, and can be translated into English in many different ways. Chang(2009) pointed out that 的 is a major source of word order error, and explored how to get more information about the syntactic, semantic, and discourse context of 的 usages to facilitate producing an appropriate English translation strategy. He got significant BLEU point gains on MT02 (+1.24), MT03 (+0.88) and MT05 (+1.49) on a phrased based system. Follow this work, DU(2010) did further experiments on his proposed model, and outperformed the baseline systems by 6.42% and 3.08% relative points in terms of the BLEU score on PB-SMT and hierarchical phrase-based MT respectively.

Therefore, function words usages identification is a key factor in Chinese information processing. However, most of above researches have focused on several individuals, and have not yet formed a complete dictionary or knowledge base on Chinese function word usages.

### 3 CFKB

The construction of CFKB was kicked off in 2003, and the "trinity" design concept of CFKB was prompted by Yu(Yu, 2003). The basic frame of CFKB was discussed in Liu(2004), including the attributes of the machine dictionary, the proper-scope of corpus and rule base. Peng(2006) studied grammatical functions of Chinese prepositions, and gave the preliminary machine dictionary and rule base of Chinese prepositions. Zan(2007) and Hao(2007) gave a formal descriptions on Chinese adverb, and built a tentative machine dictionary and rule base of Chinese adverbs.

Complying with the trinity design concept(Yu, 2003), CFKB includes Chinese function word usage dictionary, Chinese function word usage rule base, and Chinese function word usage corpus.

### 3.1 Chinese function word usage dictionary

CFKB includes all the function words from GKB (Yu, 1998), and gives more detail description on the words usage. For example, 才 has only one adverb ID in GKB. But in contemporary Chinese real texts, 才 would has 5 senses with 9 usages in total when it is in different context (Lv, 1980). So there are as many as 9 IDs for adverb 才 in CFKB. They are <d\_cai2\_1a>, <d\_cai2\_1b>, <d\_cai2\_2a>, <d\_cai2\_2b>, <d\_cai2\_3>, <d\_cai2\_4>, <d\_cai2\_5a>, <d\_cai2\_5b>, and <d\_cai2\_5c>.

Each usage has a unique ID in the function usage dictionary. The coding principles of ID in CFKB is based on Lv (1980). In general, the ID is in the frame of <x\_y\_nz>, where “x” represents the word’s POS, “y” represents the word’s PINYIN (Chinese pronouncing notation), “n” represents the sense number, and “z” represents the usage in sense “z”. If there are multiple different function words sharing the same pronunciation, we will use the frame <x\_y\_tm\_nz>, where “t” shows it is a homophone with other word, and “m” represents its sequent number. The amount of ID for a function word depends on the word’s usages. There are considerable variations among different function words. The more frequent the words are, the more usages they will have in CFKB. There are many function words which have only 1 sense with 1 usage in total, but for the words with high frequency in text will have much more senses or usages. For example, proposition 被 has 1 sense with 8 usages in total, adverb 就 has 7 senses with 21 usages in total, and auxiliary 的 has 11 senses with 39 usages in total. This just proves that function word has strong properties to study finely.

Besides GBK, CFKB also referred to Lv(1980), Zhang(2001), “Contemporary Chinese Dictionary”(5<sup>th</sup> edition), and the segmentation and POS corpus of People’s Daily, Jan 1998, and Jan to Jun 2000. With further cognition, the function words senses and usages in CFKB are continually changing or adjusting slightly. Table 1 shows the present distribution of Chinese function words’ usages in CFKB.

POS	Usages No.											Words in total	Usages in total
	1	2	3	4	5	6	7	8	9	10	Above 10		
Adverbs	1214	179	84	38	21	12	4	3	2	1	8	1566	2356
Preposition	66	30	23	7	4	5	7	0	1	1	2	141	331
Conjunction	156	50	55	24	16	7	4	0	1	2	0	315	696
Auxiliary	30	4	3	1	0	1	2	0	0	0	1	45	144
Modality	30	7	7	4	2	0	1	4	0	0	2	58	169

**Table 1 The distribution of Chinese function words' usages in CFKB.**

CFKB adopts the relational database form to describe each function word usage in detail with usage-attribute feature. CFKB includes all the features from GKB(Yu, 1998), and many of them are replenished or adjusted for each usage of the function words, for example, the sense, the usage, the example sentence, subclass information, and so on. Besides the features inherited from GKB, CFKB increases usage ID and other six usage-attributes for each usage of the function words. The usage attributes are all about the special collocation or context of the observed function word, and will be adopted in their usage rules. They are as follows.

- the features of the first word in a sentence (short for F);
- the features of words to the left of the function word in a sentence (short for M);
- the features of words close to the left of the function word in a sentence (short for L);
- the feature of words close to the right of the function word in a sentence (short for R);
- the features of words to the right of the function word in a sentence (short for N);
- the features of end word in a sentence (short for E).

### 3.2 Chinese function word usage rule base

Based on Chinese function word usage dictionary and the statistical laws of function words' occurrence in People's Daily, we have distilled feasible criteria and have written the usage rules in Backus Normal Form (BNF). The usage rules for each function word are ordered for higher precision of automatic identification, not ordered by ID sequence. The meta symbols, "→", "[ ]", "\*", "(", ")" and "[ ]", are in the general means of BNF. The symbol "#" we introduced is to express any word string except nothing. And the symbol "~" represents the observed function word itself.

To describe the usage rules, we utilized the six usage features such as F, M, L, R, N, and E, which are explained in section 3.1. In Chinese function word usage rule base, each Chinese function word starts with "\$", while each usage start with "@". If there are multiple rules in one usage, the following rules start with "^". Here are some usage rule examples,

\$特别

@<d\_te4bie2\_3a>→FR ^F→~ ^R→[是]\*n

@<d\_te4bie2\_3b>→FR ^F→~ ^R→v

@<d\_te4bie2\_2>→N ^N→v

@<d\_te4bie2\_1>→N ^N→v|a

\$那么

@<c\_na4me5\_t1\_1b>→M ^M→? [ " ]

@<c\_na4me5\_t1\_3b>→L ^L→。

@<c\_na4me5\_t1\_3a>→L ^L→既然#,

@<c\_na4me5\_t1\_1a>→L ^L→(如果|要是)#,

@<c\_na4me5\_t1\_2>→L ^L→(如果|如果说)#,

In the above examples, the “特别” is a Chinese adverb and has 3 senses with 4 usages in total. Among them, the first sense, < d\_te4bie2\_1>, means “very”. The second sense, <d\_te4bie2\_2>, means “specially”. The third sense, <d\_te4bie2\_3a> and < d\_te4bie2\_3b>, means “particularly”. The difference between two usages of the third sense is that in <d\_te4bie2\_3a>, “特别” modifies a noun, whereas in < d\_te4bie2\_3b>, “特别” modifies a verb.

Each usage rule defines its special context features. For example, the usage <d\_te4bie2\_1> of “特别” is defined as there should be a verb (short for v) or an adjective in the right context of “特别” in observed sentence. The usage <d\_te2bie4\_3a> is defined as two conditions, F and R, to be satisfied simultaneously. Here F is defined as that the first word should be “特别” itself in observed sentence, while R is defined as there should be a structure “[是]\*n” in the close right context in the observed sentence. The “[是]\*n” means the word “是” is optional. And then, “\*” means any word, including nothing. That is, there can be any word between “是” and the noun (short for n).

The “那么” is a Chinese conjunction and has 3 senses with 5 usages in total. The first sense, <c\_na4me5\_t1\_1a> and <c\_na4me5\_t1\_1b>, expresses a relation of hypothesis. The second sense, <c\_na4me5\_t1\_2>, expresses a relation of inference. The third sense, <c\_na4me5\_t1\_3a> and <c\_na4me5\_t1\_3b>, expresses a relation of deduction. You can get the usages’ difference from the description of rule. And you must have found the rules ID of “那么” include “t1”. This shows there is another function word in the same pronunciation with “那么”, such as “那末”.

Up to now, we have finished the descriptions of all usage rules and constructed the initial Chinese function word usage rule base. Further works include adjusting or modifying the rules to express their context more appropriately, and to get higher precision for rule based automatic identification of Chinese function word usages.

### 3.3 Chinese function word usage corpus

The Chinese function word usage corpus is an important part of the trinity CFKB. For about recent seven years, we have devoted to the Chinese function word usage annotating on the segmentation and POS corpus for 7 months of People’s Daily, Jan, 1998, and Jan to Jun, 2000.

The total of words in each month of People’s Daily corpus is about 1.2 million. Among them, as for the occurrence of function words, there are about 50,000 adverbs, over 40,000 prepositions, nearly 30,000 conjunctions, nearly 80,000 auxiliaries and less than 2,000 modalities. And for each particular function word, the situation is quite different among each other. For example, the auxiliary 的 has the highest frequency with about 40,000 occurrences in each month corpus. However, there are many other function words which do not occur at all.

To annotate Chinese function words usage on such a large size corpus is a difficult and time-consuming task. First, based on Chinese function word usage dictionary and rule base, we got the elementary tagged result via a rule based automatic identification system of function word usages. Because of the flexible usages of the function words in real text, there must be many errors in the tags in this elementary result to be corrected. Then two annotators checked

the corpus double blindly and a committee decided those inconsistent annotations. To improve the efficiency and correctness of annotators' work, we have developed an aided tool which integrates the three part of CFKB. Figure 1 shows the user interface of the aided tool.

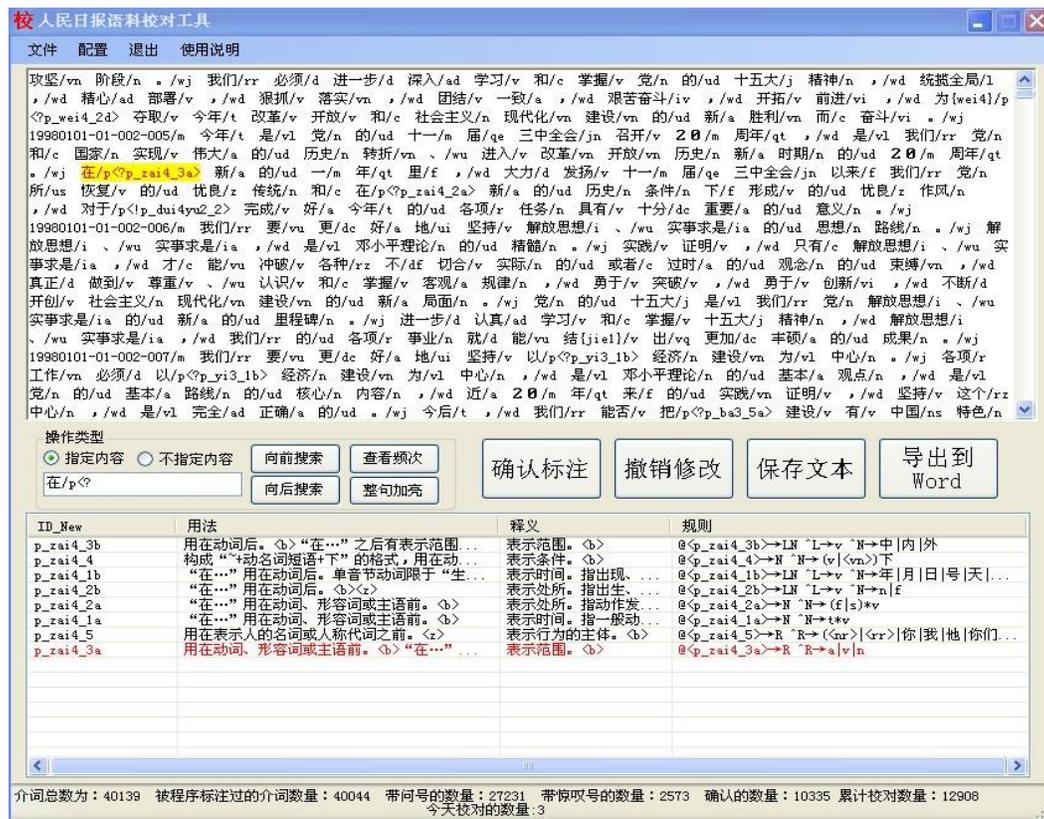


Figure 1 The interface of aided tools for checking Chinese function word usages corpus

Here are some examples of the Chinese function word usage corpus.

- 我们/r 要/vu 更/dc<d\_geng4\_1a> 好/a 地/u< u\_de5\_t3> 坚持/v “/wyz 两手抓 /l 、/wu 两手/mq 都/d<d\_dou1\_1> 要/vu 硬/a ”/wyy 的/u< u\_de5\_t2\_1g > 方针/n 。/wj
- 北方/f 省/n 的/u< u\_de5\_t2\_1a> 铬铁矿/n 企业/n 在/p< p\_zai4\_1b > 短/a 时间 /n 内/f 就/d<d\_jiu4\_4a> 开始/v 运作/v ， /wd 仅/d<d\_jin3\_t1\_1aa> 这/rz 一/m 项目/n 投资/n 就/d<d\_jiu4\_6b> 逾/Vg 7 0 0 0 万/m 美元/qd 。 /wj

Up to now, we have finished all annotating work of adverbs, prepositions, conjunctions, modalities, and most of auxiliaries. With this Chinese function word usage annotated corpus, we can supplement the frequency information into the Chinese function word usage dictionary, and can also utilize the frequency information to improve the performance of Chinese function word usage rule base. We believe that the Chinese function word usage corpus will become an important data for the research of Chinese parsing or generation, and other Chinese related natural language processing applications, for example, machine translation, information extraction, question answer, and so on.

### 3.4 Automatic identification algorithms of Chinese function words usages

Two kinds of usage automatic identification algorithms were developed. One was based on usage rules, and the other was based on statistical model.

As for rule based method, Liu(2008) developed the initial automatic identification system for Chinese adverb usages with the average precision at about 70% - 80%. Yuan(2010) rewrote the adverb usages' automatic identification system and expanded it for all kinds of Chinese function words. The system precision depends on the rules in rule base. The precisions are quite different among various POS, and among the individual words. The precision of several words' usage identification based on rule were lower than 30%, and several others' were even up to 100%. This is consistent with the common cognition that the Chinese function words have strong individual characteristics with distinct usages. The usage rules must be carefully studied one by one, and would be improved gradually. Zhou(2010) gave some efficient improvement on Chinese modality 了.

It is well known, only rule based methods are not enough for natural language processing, especially for so difficult Chinese function word usage's identification. After manually checking and adjusting the tagged results of rule based system, we got the gold standard Chinese function words usage corpus. With this training data, statistical models were adopted in the automatic identification of Chinese function word usages. For example, the usages' automatic identification of adverb 才(Zan, 2009) and several common adverbs (Zan, 2010) were tried via the model of ME (Maximum Entropy), CRF (Conditional Random Fields), and SVM (Support Vector Machine). They got higher average precision by appropriate feature selection for most experimental adverbs. Table 2 shows some experiment results of rule based method and the statistical based methods (Zan, 2010).

Method Adverb	Rule- based	CRF	ME	SVM
bian/便	0.409	0.459	0.453	0.876
fenbie/分别	0.506	0.673	0.679	0.905
Jiu/就	0.339	0.776	0.608	0.59
tebie/特别	0.697	0.783	0.652	0.932
yi/已	0.511	0.91	0.71	0.974
shifen/十分	0.712	0.95	0.865	0.993
xianhou/先后	0.963	0.575	0.59	0.846
<b>average</b>	<b>0.55</b>	<b>0.729</b>	<b>0.66</b>	<b>0.885</b>
<b>precision</b>				

**Table 2** The experiment results of rule based method and the statistical based methods.

#### 4 Conclusion and Future Works

Chinese function words are very important in text semantic understanding and grammatical analysis. In this paper, we reviewed the research results and applications of Chinese function words, and put forward the necessity to study the Chinese function word usages systematically. We introduced all the three parts of the trinity CFKB, including the Chinese function word usage dictionary, Chinese function word usage rule base, and Chinese function word usage corpus. In addition, we discussed the automatic identification of Chinese function word usages and the potential applications based on CFKB.

Next we will continue to improve the quality of CFKB, making sure the three parts of CFKB are in concordance. With these results, we will study the rule and statistic combined automatic identification algorithms of Chinese function word usages. And we will also attempt the applications based on CFKB, for example, adding the pre process or post process into the machine translation system to purify the quality of Chinese text. We hope CFKB would provide a solid data reference for Chinese information processing and its related applications.

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